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U.S. Patent and Trademark

through 10/31/2002. OMB 0651-0032

PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION Attorney Docket No. 99P03591US First Inventor Dr. Gebhard Döpper METHOD AND DEVICE FOR TREATING THE SURFACE OF A Title PART

TRANSMITTAL Express Mail Label No. EU211110957US nonprovisional applications under 37 C.F.R. 1.53(b))

1,200									
•	APPL	ICATION ELEME	NTS	ADDR	RESS TO:	Assistant C Box Patent	ommissioner for Patents Application		
See MPEP	chapter 600 conce	eming utility patent applica	tion contents.			Washington	n, DC 20231		
3.	Applicant claims See 37 CFR 1.2 Specification preferred arrange Descriptive title o Cross Reference Statement Regar Reference to seq or a computer pro Background of the Brief Summary of Brief Description Detailed Descript Claim(s) Abstract of the Di	[Total Parment set forth below] f the Invention to Related Applications ding Fed sponsored R & D uence listing, a table, gram listing appendix e Invention i the Invention of the Drawings (if filed) ion sclosure	ages]	7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. ☐ Computer Readable Form (CRF) b. Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper c. ☐ Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. ☒ Assignment Papers (cover sheet & document(s)) ✓ 10. ☐ 37 C.F.R.§3.73(b) Statement ☐ Power of (when there is an assignee) Attorney 11. ☒ English Translation Document (if applicable)					
	Orawing(s) (35 U			12. 🛚	Information Di Statement (ID		Copies of IDS Citations		
	Declaration	[<i>Total Pa</i> ed (original or copy)	ages 3]	13. 🔲	Preliminary A	•			
	,	rior application (37 CFF	R 1.63 (d))	14. 🛛	Return Receipt Postcard (MPEP 503)				
٠. L		tion/divisional with Box		15. 🗌	(Should be specifically itemized) Certified Copy of Priority Document(s)				
i. [OF INVENTOR(S)		(if foreign priority is claimed)					
:		nt attached deleting invent ior application, see 37 CFI 1.33(b).		16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
6. 🗌 Ap	plication Data S	heet. See 37 CFR 1.76	6	17. Other: Cover page of published PCT application; A					
					Power of Attorney is included within the Declaration				
18 If a CON	ITINUING APPLIC	CATION check appropria	ate box, and supply	the requisi	te information be	low and in a	preliminary amendment,		
		eet under 37 CFR 1.76:	are capply				,		
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FOR CONTIN	Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied								
under Box 5	5b is considered	a part of the disclosure be relied upon when a po	of the accompanying	ng or divisi	onal application	and is heret	by incorporated by reference.		
THE INCORP	oracion <u>our own</u>		7. CORRESPON						
☐ Custor	mer Number or Ba	r Code Label (Insert	Customer No. or Atta	ach bar code		or 🛛 Co	orrespondence address below		
	SIEMENS CO	ORPORATION							
Name	INTELLECTUAL PROPERTY DEPT.								
	186 WOOD AVENUE SOUTH								
Address									
City	ISELIN		State	NJ	Zip	o Code	08830		
Country	USA	Te	elephone	732-321-3	3026	Fax	732-321-3014		
Name (Pi	rint/Type)	John P. Musone	F	Registratio	n No. (Attorney.	/Agent)	44,961		
Signature		1/1/1/11	Date			02/28/2002			

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TOTAL AMOUNT OF PAYMENT

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

740

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Complete if Known						
Application Number	NOT YET ASSIGNED					
Filing Date	02/28/2002					
First Named Inventor	Dr. Gebhard Döpper					
Examiner Name	unknown					
Group / Art Unit	unknown					
Attornov Docket No.	99P03591US					

METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)						
							3. ADI	DITIONAL	FEES		-	
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Depo	sit						105	130	205	65	Surcharge - late filing fee or oath	
Account 19-2179 1 Number			127	50	227	25	Surcharge - late provisional filing fee or cover sheet.					
							139	130	139	130	Non-English specification	
Depo		015		2000 4 71041			147	2,520	147	2,520	For filing a request for reexamination	
Account SIEMENS CORPORATION					112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
☑ Charge	e fee(s)	indicat	ted below	d to: (check al ⊠ Credit any	overpaym	ents	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
⊠ Charg	e any a	ddition	al fee(s) d	luring the pende	ency of this	application	115	110	215	55	Extension for reply within first month	
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.						116	400	216	200	Extension for reply within second month		
			FEE C	ALCULATION			117	920	217	460	Extension for reply within third month	
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Fee	•	Fee	Fee	Fee Descriptio	n		128	1,960	228	980	Extension for reply within fifth month	
Code		Code	(\$)			Fee Paid	119	320	219	160	Notice of Appeal	
101	740	201	370	Utility filing fee		740	120	320	220	160	Filing a brief in support of an appeal	
106	330	206	165	Design filing fee	, [121	280	221	140	Request for oral hearing	
107 108		207 208	255 370	Plant filing fee Reissue filing fee Provisional filling fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
114		214	80				140	110	240	55	Petition to revive – unavoidable	
,,,,	.00		00		y		141	1,280	241	640	Petition to revive - unintentional	
			SUBTOTA	L (1)		(\$) 740	142	1,280	242	640	Utility issue fee (or reissue)	
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Total Claim	s 🗌		20 ** =	0 × [= 0	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
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Multiple Dependent				× [= 0	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Entity Small Entity Fee Fee Fee Supplied to a		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
Code	(\$)	Code		Fee Descrip			149	740	249	370	For each additional invention to be	
103	18	203	9	Claims in ex			1				examined (37 CFR § 1 129(b))	
102	84	202	42	Independent claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)		
104	280	204	140	Multiple dep						900	Request for expedited examination	\vdash
109	84	209	42	** Reissue independent claims over original patent ** Reissue claims in excess of 20 and			169	900	169	900	of a design application	
110	18	210	9	over original		ess of 20 and						\vdash
SUBTOTAL (2) (\$) 0						Other fee (specify)						
**or nur	nber pre	viously	y paid, if g	reater; For Rei	ssues, see	above	*Redu	ced by Ba	sic Filin	g Fee P	aid SUBTOTAL (3) (\$) 0	

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	John P. Musone	Registration No Attorney/Agent)	44,961	Telephone	407-736-6449	
Signature	11/1/11			Date	02/28/2002	

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